## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Simon, et. al.

Serial No.:

Filed:

Title: System and Method for Verifying

**Database Security Across Multiple** 

**Platforms** 

**Group Art Unit:** 

**Intellectual Property Law Department** 

**International Business** 

**Machines Corporation** 

: 11400 Burnet Road

Austin, Texas 78758



The Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

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In Place of FORM PTO-1449 (Modified)				Serial No.			<u>.</u> 5
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCL OSURE STATEMENT				Filing Date: Group:			20
				Отопр			67
				Atty. Docket No. <u>AUS920010993US1</u>			Ď.
U. S. PATENT DOCUMENTS							
Examiner		Document					Filing Date if
<u>Initial</u>		Number	<u>Date</u>	<u>Name</u>	Class	Subclass	Appropriate
mh	AA	5,983,348	Nov. 9, 1999	Ji	713	200	Sep. 10, 1997
- or	AB	5,872,915	Feb. 16, 1999	Dykes et al.	395	188.01	Dec. 23, 1996
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.